

6/28/07

PTO/SB/08A (07-06)

Approved for use through 09/30/2006. OMB 0651-0031

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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/786,196
				Filing Date	February 25, 2004
				First Named Inventor	Saliba
				Group Art Unit	3624
				Examiner Name	Jagdish N. Patel
				Attorney Docket Number	12778US03
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initial*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
JNI	A1	6,993,504	01-31-2006	Friesen	
JNI	A2	7,212,999	05-01-2007	Friesen	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

OTHER ART -- NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

EXAMINER SIGNATURE		DATE CONSIDERED	10/22/07
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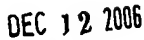
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***(Use as many sheets as necessary)***

Sheet

1

of

1

## Application Number

10/786,196

**Filing Date**

February 25, 2004

**First Named Inventor**

Saliba

**Art Unit**

3624

**Examiner Name**

**Jagdish N. Patel**

Attorney Docket Number

12788US03

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**Examiner  
Signature**

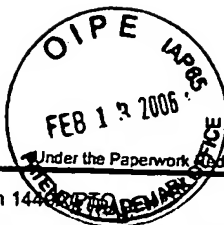
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PTO/SB/08A (08-03)

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Substitute for form 1449 (PTO)

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/786,196
Filing Date	February 25, 2004
First Named Inventor	Saliba
Group Art Unit	3624
Examiner Name	Patel
Attorney Docket Number	12778US03

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
JIP ↓	A1	6,766,304	07-20-2004	Kemp, II et al.	
	A2	6,772,132	08-03-2004	Kemp, II et al.	
	A3	2003/0023542	01-30-2003	Kemp, II et al.	
	A4				
	A5				
	A6				
	A7				
	A8				
	A9				
	A10				
	A11				
	A12				

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>2</sup> -Number <sup>4</sup> -Kind Code <sup>3</sup> (if known)				
	A13					
	A14					
	A15					
	A16					
	A17					

**OTHER ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	A18	
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EXAMINER  
SIGNATURE*Jayesh Patel*

DATE CONSIDERED

10/12/07

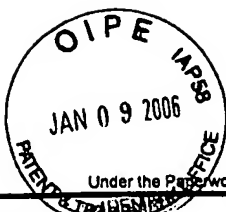
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		Attorney Docket Number	12778US03
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		Number-Kind Code <sup>2</sup> (if known)			
JN	A1	6,938,011	08-30-2005	Kemp, II et al.	
	A2				
	A3				
	A4				
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	A12				

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	A13					
	A14					
	A15					
	A16					
	A17					

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	A18	
	A19	
	A20	
	A21	
	A22	

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Form PTO-1449  
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NO.

12778US03

SERIAL NO.

10/786196

APPLICANT:  
SalibaFILING DATE  
HerewithGROUP  
ART UNIT:  
3624

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
JNP		4,674,044	6/16/87	Kalmus et al.			
		5,038,284	8/6/91	Kramer			
		5,077,665	12/31/91	Sliverman et al.			
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		5,168,446	12/01/92	Wiseman			
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		5,724,524	3/3/98	Hunt et al.			
		5,727,165	3/10/98	Ordish et al.			
		5,758,328	5/26/98	Giovannoli			
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		5,809,483	9/15/98	Broka et al.			
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		6,321,212	11/01	Lange			
		6,493,682	12/02	Horrigan et al.			
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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>just</i>	AA	Walker, Joseph A., "How the Options Markets Work," 1991, Chapter 6, Equity Options Strategies
	BB	
	CC	
EXAMINER <i>Raychur</i>	DATE CONSIDERED	<i>10/12/07</i>
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